

**POLICY: 6Hx28:3E-09** 

**Responsible Executive:** Vice President, Organizational Development & Human Resources

**Policy Contacts:** Assistant Vice President, Human Resources

**Specific Authority:** 1001.41, F.S. **Law Implemented:** 1001.64, F.S.

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<del>2022</del>02-01-2024

# **Employee Dispute Resolution**

## Policy Statement:

- I. Valencia College recognizes that employees should have the opportunity to express differences of opinion in a professional manner and as a result, disputes may occur in the workplace. The College has established a dispute resolution process as a resource for employees to resolve workplace-related disputes at the earliest opportunity to promote productive working relationships. There are two avenues for this process: informal and formal dispute resolution.
- II. The informal dispute resolution process is available for all College employees. It is a collection of common methods used to resolve conflict in the workplace; informal dispute resolution does not involve the use of a formal system of steps or require the completion of forms. This approach is often the most effective method for dispute resolution because it encourages the healthy and respectful exchange of ideas. The informal dispute resolution process provides two options for an employee:
  - A. Interpersonal discussions and/or Facilitated Conversations
  - B. Discussions with supervisor
- III. The formal dispute resolution process, which includes mediation and grievances, is available for full-time College employees as an additional avenue for resolving more serious disputes or disputes not resolved using informal approaches. (Full-time employees are defined in Policy 6Hx28:3C-01 Total Rewards: Compensation & Hours of Work for Employees of the College.)
  - A. The grievance process option of the formal dispute resolution process under this policy is <u>normally</u> for grievances related to employment matters other than disciplinary actions taken against employees. <u>See College Policy 3E-08 Disciplinary</u>

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<u>Action Ff</u>or information pertaining to <u>appeals the grievance process for on</u> disciplinary actions taken against full-time employees that are:

- 1. less than a contract termination; or
- 2. for continuing contract employees, less than a dismissal from employment or return to an annual contract., see College Policy 3E-08 Disciplinary Action.

All non-disciplinary related disputes are handled in accordance within this employee dispute resolution policy and procedures.

- B. The formal process provides two methods to resolve conflict in the workplace.

  These formal processes include designated steps to enter, document, and close the process, and usually involve an opportunity for disputing parties to present the case for review by themselves or others involved in the process. The formal dispute resolution process provides two options:
  - 1. Mediation: This approach manages the dispute beyond informal interpersonal discussions and facilitated conversations and provides additional opportunities to find mutually agreeable solutions.
  - 2. Grievance The grievance process provides for the review of circumstances of a given situation and renders a recommendation by a committee of trained College representatives. This approach may be necessary to address disputes that have not been successfully resolved in other dispute resolution processes.

The grievance process option of the formal dispute resolution process under this policy for grievances related to employment matters other than disciplinary actions taken against employees is available to part time faculty employees solely with respect to violations of academic freedom. The grievance process option of the employee dispute resolution available to part time faculty employees with respect to the termination of an employment contract is set forth in College Policy 3E-08 Disciplinary Action.

All non-disciplinary related disputes are handled in accordance within this employee dispute resolution policy and procedures.

A.C. The College expects that individuals engaged in a workplace dispute will participate fully in and exhaust informal process efforts to reach an amicable and rational solution to concerns prior to entering the formal dispute resolution process.

The formal process provides two methods to resolve conflict in the workplace. These formal processes include designated steps to enter, document, and close the process, and usually involves an opportunity for disputing parties to present the case for review by themselves or others involved in the process. The formal dispute resolution process provides two options:

- A. Mediation This approach manages the dispute beyond informal interpersonal discussions and facilitated conversations and provides additional opportunities to find mutually agreeable solutions.
- B. Grievance The grievance process provides for the review of circumstances of a

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given situation and renders a recommendation by a committee of trained College representatives. This approach may be necessary to address disputes that have not been successfully resolved in other dispute resolution processes.

- IV. Grievances, complaints, and appeals that can be presented, pursued, and reviewed under a more specific policy or procedure provided by the District Board of Trustees will not be presented or processed under this policy. It is the purpose of this policy to secure, at the earliest possible level, solutions to alleged disputes through procedures that allow such employees to present them free from coercion, interference, restraint, discrimination, or reprisal and by which personnel are afforded adequate opportunity to resolve such disputes. Employees who participate in any dispute resolution processes (informal or formal) are expected to continue to follow the reasonable directions of their supervisor before, during, and after the conclusion of the process.
- V. Retaliation against an employee because they engaged in good faith <u>in</u> any of the employee dispute resolution processes is prohibited. Any report of retaliation should be made to a representative from the Organizational Development and Human Resources (ODHR) Employee Relations Office for further evaluation and action as deemed necessary.

## **Policy History:**

Adopted 2-26-14; Amended 2-26-14; Amended 12-12-2018; Amended 2-24-2021; Amended 02-01-2024; Formerly 6Hx28:8-03; Formerly 6Hx28:08-14; Formerly 6Hx28: 3E-09.1 and 6Hx28:3E-09.2

#### **Related Documents/Policies:**

College Policy 6Hx28:1-10 Policy Against Improper Activities: Whistleblower Protection

College Policy 6HX28:2-01 Discrimination, Harassment, and Related Misconduct

<u>College Policy</u> 6HX28:3C-01 Total Rewards: Compensation and Hours of Work for Employees of the College

College Policy 6Hx28: 3C-02 Flexible Work Arrangements for Non-Instructional Employees

College Policy 6Hx28: 3D-06.1 Family and Medical Leave

College Policy 6Hx28: 3D-06.2 Fitness for Duty

College Policy 6HX28: 3E-08 Disciplinary Action

College Policy 6Hx28: 3F-03 Suspension, Dismissal, Return to Annual Contract or Non-Renewal

of Contracts

College Policy 6HX28: 3F-05.2 Abandonment of Position

### **Procedures:**

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## I. Informal Dispute Resolution Procedure

The College encourages clear and collegial communication to promptly resolve disputes. An employee is encouraged to initiate discussions through <u>any either</u> of the two available options (i.e., interpersonal discussions and/or facilitated conversations; or discussions with supervisor) as soon as reasonably possible from the date of the incident giving rise to the concern.

- A. Interpersonal discussions and/or facilitated conversations:— Informal discussion/communication is highly encouraged for all individuals seeking a resolve to a conflict or concern. Parties engage in open meaningful discussions in good faith and work with one another toward mutual resolution that is in the best interest of all involved. Addressing disagreements and differences directly with the individual with whom the conflict is occurring can be the most effective approach to solving a problem. Interpersonal discussions occur when parties involved in a dispute have one-on-one conversations in which both parties can reach mutual understanding and resolution. These discussions may take place over several conversations and may require or be improved by seeking assistance from a neutral party such as a member from the ODHR Employee Relations (ER) Office. This neutral party may further assist in the process by helping to facilitate the dialogue to ensure both parties have the opportunity to share perspectives, listen actively, and seek mutual understanding.
- B. Discussions with Supervisor:— If, for any reason, the employee does not feel comfortable, would like assistance in raising the concern with the other party, or if interpersonal conversations have not been successful, the employee(s) may bring the concern to the attention of their supervisor or next level of supervision. Supervisors may assist in providing additional information about the issue at hand, provide a sounding board and feedback for employees attempting to resolve a difference, and can provide an alternative perspective on the circumstances and/or possible solutions.
- II. Formal Dispute Resolution Procedure Based upon the information provided, there may be sufficient reason to engage the formal dispute resolution process (i.e., mediation or grievance) in order to bring resolution to the issues presented. When such hearings or conferences are held during working hours, all employees whose presence is required shall be excused from their regular duties for the purpose of attending such hearings or conferences and be considered "on duty" for purposes of overtime, travel reimbursement, etc.
  - A. Mediation (Formal Dispute Resolution):— Mediation is a process for full-time employees in which an internal or external third-party, neutral, trained professional assists parties to reach a mutually agreeable resolution to their dispute. This process is best utilized for resolving interpersonal disagreements and conflicts.

This process is not intended for alleged violation, misinterpretation, or misapplication of established College policies or practices; employee behavior concerns; or employee performance concerns. The mediator sets the agenda for discussion, facilitates the meeting through exploration and validation of each party's point of view, searches for interests underlying each parties' position, manages group dynamics, summarizes and records information discussed, and assists with

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building consensus and finding solutions to their dispute. Mediation is a voluntary process; either party can decide to end the mediation at any time and all parties should work in good faith towards resolution.

- 1. Mediation Procedure:— A full-time employee may contact <a href="ER\_ODHR">ER\_ODHR</a> Employee Relations to discuss the appropriateness of mediation in a given dispute. The <a href="ER\_ODHR Employee Relations">ER\_ODHR Employee Relations</a> representative will evaluate the circumstances to determine if the matter is suited for resolution using mediation or some other method of dispute resolution. If the <a href="ER\_ODHR">ER\_ODHR</a> Employee Relations representative determines that the matter should be addressed using mediation, with the agreement of the parties, the <a href="ER\_ODHR">ER\_ODHR</a> Employee Relations representative will be responsible for the identification of the trained mediator and coordination logistics of the process.
- 2. Mediation sessions are not public meetings and are closed to the public. The parties are expected to speak for themselves and representatives are not allowed to be present or to participate. While practical solutions are encouraged, the mediation outcome must comply with College policies.
- 3. A matter that is resolved using mediation may not be grieved thereafter.
- B. Grievance (Formal Dispute Resolution) If informal attempts to resolve a conflict are not successful or are not appropriate given the nature of the issue, another option in the formal dispute resolution process is the grievance process.
  - 1. The grievance process provides the opportunity for full-time employees to register a complaint concerning:
    - a. A failure to observe, or a misinterpretation or misapplication of established College policies and/or procedures which has or is likely to have an impact on the ability of an employee to undertake their duties or similar impact on their career;
    - b. A termination of an employment contract prior to the contract expiration date (Note: The appeal process for other disciplinary action issued to full-time employees may be found in College Policy 6Hx28: 3E-08 Disciplinary Action);
    - c. A formal challenge to a decision to dismiss employment/contract termination or a decision to return to an annual contract by a faculty member on a continuing contract (Note: The appeal process for other disciplinary action issued to full-time employees on a continuing contract may be found in College Policy 6Hx28: 3E-08 Disciplinary Action);
    - d. Arbitrary and capricious treatment, arising from a failure to observe established College policies or procedures; or which results from a misinterpretation or misapplication of said College policies or practices (Typically, arbitrary and capricious decision making involves bad faith or the failure to exercise honest judgement);

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- e. Violations of academic freedom; or
- f. The placement of an employee on an administrative leave of absence without pay that is not included as part of a disciplinary action, as the result of medical or personal reasons, or due to an employee exhausting their accrued and available leave balance.
- 2. The grievance process provides the opportunity for part-time faculty employees to register a complaint solely with respect to:
  - a. the termination of an employment contract prior to the expiration date; or
  - b. violations of academic freedom.
- 1.3. The grievance process allows the employee to receive an informal College hearing on the issue, receive an impartial recommendation and involves four steps including:
  - a. if appropriate, the attempt to resolve differences using informal or less formal means;
  - b. the qualification for <u>a grievance</u> hearing (review of the case to determine whether <u>the grievance is heard via a committee, reviewed through another appropriate policy/procedure, or if no further action would be appropriate) or not it will be heard or reviewed through another appropriate venue);</u>
  - c. the <u>grievance</u> hearing <u>with the grievance committee</u> (<u>if applicable</u>); and
  - d. the review of and decision by the designated College official (if applicable) regarding the grievance committee's recommendation by the designated College official.

The <u>person employee</u> filing a grievance may not simultaneously maintain <u>two multiple</u> separate grievance procedures on the same issue nor can they initiate any further <u>College</u> process on the same issue.

2.4. ODHR Employee Relations Representatives are responsible for coordinating and implementing the grievance process and ensuring that all electedgrievance hearing committee members are properly trained before they participate in the grievance process. The grievance coordinator is typically the Assistant Vice President, Human Resources, (or under limited circumstances, a designee) and theywill serve as the Grievance Coordinator and also provide neutral support and guidance to all participants in the process. This individual, acting as a neutral party, may render advisory assistance to either/both the grievant or respondent regarding procedural matters and interpretation of applicable policies to either/both the grievant or

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#### respondent.

- 3. The grievance process provides the opportunity for full-time employees to register a complaint concerning:
  - a. A failure to observe, or a misinterpretation or misapplication of established College policies and/or procedures which has or is likely to have an impact on the ability of an employee to undertake their duties or similar impact on their career;
  - b. Arbitrary and capricious treatment, arising from a failure to observe established College policies or procedures; or which results from a misinterpretation or misapplication of said College policies or practices (Typically, arbitrary and capricious decision making involves bad faith or the failure to exercise honest judgment.);
  - c. Violations of academic freedom; or
  - d. The placement of an employee on an administrative leave without pay that is not included as part of a disciplinary action or as the result of medical or personal reasons. (Note: For more information on the process for a grievance of a disciplinary action, see College Policy-6Hx28: Disciplinary Action.)
- 4. The grievance process option of the formal dispute resolution process is available to part-time faculty employees solely with respect to violations of academic freedom.
- 5. Non-Grievable Matters: There are matters not eligible to be addressed under this Formal Dispute Resolution Procedure and are either addressed by informal dispute resolution procedures, other policies as referenced or may be clarified and resolved by contacting an ODHR <a href="Employee Relations">Employee Relations</a>
  Representative at 407-299-5000 x4748407-582-4748 (HR4U) or <a href="HR4Uemployeerelations">HR4Uemployeerelations</a>
  @valenciacollege.edu for additional guidance on other applicable avenues for addressing concerns. These matters include and are not limited to:
  - a. Allegations of prohibited conduct under College Policy 6Hx28:2-01 (Discrimination, Harassment and Related Misconduct) including disciplinary action imposed for violations of Title IX Sexual Misconduct under this policy
  - b. Allegations of improper activities or retaliation involving whistleblower(s) (Refer to College Policy 6Hx28:1-10 Policy Against Improper Activities; Whistleblower Protection)
  - c. Corrective Actions or formal disciplinary action other than termination of an employee contract prior to the contract expiration date or return to annual contract (only applicable to employees on a continuing contract). Counseling or individual action plan (IAP)

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(Refer to College Policy 6Hx28:3E-08 Disciplinary Action for appeal procedures on applicable formal disciplinary actions for full-time employees.)

- d. Annual performance review process or performance improvement plans (PIP)
- e. Administrative Leave of Absence or suspension with pay
- f. Interpersonal disagreements or treatment that falls short of arbitrary and capricious
- g. Contract non-renewals or decisions pertaining to the award of continuing contracts (tenure) Refer to College Policy 6Hx28:3F-03 (Suspension, Dismissal, Return to Annual Contract or Non-Renewal of Contracts)
- h. Benefits
- i. Selection or non-selection for a position
- j. Current position classification or re-classification, salary schedule, or salary within schedule
- k. Work assignments and work schedules that are within established job descriptions and qualifications
- 1. Assignment of work outside of the scope of the job description
- m. Employment decisions based on operational and/or budgetary concerns, including and not limited to layoffs, proposed layoffs, or elimination of positions
- n. Voluntary resignation, resignation without notice, or abandonment of position as defined in College Policy 6Hx28:3F-05.2 Abandonment of Position
- o. Requests for discipline of another employee or student
- p. Remedies requested as part of a grievance that are outside the College's scope of authority, ability or control
- q. Voluntary demotions or reductions in pay
- r. Correction of overpayment
- s. College determinations with respect to terminal pay and requests for leave
- t. Allegations of Family and Medical Leave Act (FMLA) retaliation or

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- violations of FMLA rights Refer to College Policy 6Hx28: 3D-06.1 Family and Medical Leave
- u. A matter that has been resolved using the mediation procedure
- v. Separation from employment after the College's interactive process and determination of an employee's inability to perform the essential job functions with or without reasonable accommodation Refer to College Policy 6Hx28: 02-02 Workplace Accommodations for Applicants and Employees and/or actions taken under College Policy 6Hx28: 3D-06.2 Fitness for Duty.
- w. Decisions about flexible work arrangements for work locations and work schedules.
- 6. Grievance Submission: A grievance must be filed by submitting the online grievance form and required information. The grievance form is located via Atlas or by contacting the Assistant Vice President, Human Resources. The grievance form and required information must be submitted within ten (10) College business days of:
  - a. the dismissal;
  - b. the decision to dismiss the employment contract prior to the contract expiration date;
  - c. the notice of the decision to return the employee on a continuing contract to an annual contract; or
  - d. when the employee had knowledge of, or should have had knowledge of the incident, act, action, or omission that is the basis for the grievance.
  - (College business day is defined as a day where the College is open for business. It shall exclude Saturdays, Sundays, College holidays, and other official College closures, as applicable.)
- 7. Review of Grievance Submission: Grievance filed by the deadline date shall be reviewed by the grievance coordinator to determine whether the complaint involves a grievable or non-grievable matter.
  - a. Grievable Matter: If the complaint is grievable, the grievance coordinator shall inform the grievant and respondent(s) of the grievance. Formal written notifications of a grievance hearing date will be made within ten (10) College business days from the determination by the grievance coordinator that a grievance hearing is appropriate. All notifications to the grievant and respondent(s) will be communicated through the grievance coordinator or their designee.
  - b. Non-Grievable Matters:

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- i. Grievances that are determined by the grievance coordinator to be non-grievable or eligible to be presented, pursued, and reviewed under a more specific policy or procedure provided by the District Board of Trustees may not be presented or processed under this policy. This determination is final and is not appealable. The grievant will be notified of such a determination and if applicable, provided more detailed information as to the process regarding the appropriate policy.
- ii. Grievances that do not include the required information or are not filed by the deadline date may not be processed. This determination by the grievance coordinator is final, is not appealable, and the grievant will be notified of such a determination.

## 8. Preparation for the Grievance Hearing

- a. Scheduling of the grievance hearing date: The grievance coordinator, or designee, is responsible for coordinating the grievance date, time, and location with the grievant, appropriate supervisory personnel and/or respondent(s), grievance committee, and witnesses (as applicable and identified by the grievance committee.) Notification of the scheduled grievance hearing date is delivered via email to the respective parties.
- b. Document requests: The grievant or respondent(s) may request relevant document(s) to be provided via the grievance coordinator to support their position in preparing for the grievance hearing. The request(s) to the grievance coordinator should be specific and provide the date by which said documents are needed. The College will make reasonable efforts to accommodate the document requests; however, requests for documents with a response time of less than one (1)

  College business day/24 hours may not be possible to honor. The requestor may request the documentation before and independent of placing it on the evidence list that is submitted to the grievance committee.
  - i. Confidential information and/or information regarding nongrievable matters may be redacted by the grievance coordinator before providing documents to the parties and/or grievance committee.
  - ii. Except for documents requested by and presented by the grievance committee, the grievant or the respondent(s) may only present the documents listed on the evidence list.
- c. Witness(es) and submittal of documentation: Either party may provide documentation to support the party's claim and/or request a witness(es) who may provide additional testimony. The grievance

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committee may request specific documentation, may request additional information, will determine the final list of witnesses for the grievance hearing (if applicable), and/or call witnesses as necessary.

d. Grievance hearing information and evidence list: The grievance coordinator or designee will supply the grievance committee, grievant, and respondent(s) with the grievance information and a list of evidence to be presented at the grievance hearing no less than three (3) College business days before the scheduled grievance hearing date. The list may include witnesses identified by the grievance committee to provide testimony at the grievance hearing.

## 6.9. Grievance Committee Structure and Responsibilities:

- a. An impartial grievance committee is responsible for hearing grievances. The composition of the grievance committee, selected by the grievance coordinator from a pool of duly appointed and trained representatives, will include five members plus a committee chair who are regular full-time Valencia employees as defined in College Policy 6Hx28:3C-01 Total Rewards: Compensation & Hours of Work for Employees of the College. In addition, each duly appointed member shall have an alternate(s) available in the pool to ensure availability and that hearings are conducted in a timely manner. All grievance committee members shall participate in the training program as developed and implemented by ODHR. The grievance committee composition is as follows:
  - i. The committee chair, or designee, appointed for each grievance committee hearing, will serve as chair serves without voting privileges. The chair will be an eligible representative from the same employee group as the grievant (staff, faculty, administrative, or executive) and will be appointed for each grievance in accordance with these procedures by the grievance coordinator.
  - ii. The five (5) <u>additional</u> committee representatives will be one faculty <u>member</u>, one administrator, one exempt staff member, one non-exempt staff member, <del>plusand</del> one additional member from the grievant's employee group (staff, faculty, administrative, or executive). Before the hearing, one of the committee members will also be identified as alternate chair for the respective grievance should the committee chair become unable to complete their duties. The alternate chair will be based upon the same employee classification as the grievant.
  - iii. <u>In the following situations</u>, <u>T</u>the grievance committee chair shall be an eligible faculty member and the five (5) <u>additional</u> <u>grievance</u> committee representatives will be three (3) faculty members and two (2) administrative members in the case of a

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### grievance filed by:

- 01. a part-time faculty; regular full-time annually appointed faculty; or full-time tenure <u>track</u> or tenured faculty member <u>grieving with respect to the</u> termination <u>or recommendation to terminate of their</u> employment contract <u>prior to the contract expiration</u> date (as applicable);
- 02. a tenured faculty grieving the recommendation to returned to an annual contract; or
- 03. a grievance regarding violations of academic freedom
- iv. If, in their sole discretion, the grievance coordinator determines that a conflict of interest exists with respect to a grievance committee member's ability to participate in an impartial manner-becomes apparent, the grievance committee member may be recused by the grievance coordinator from further participation in the grievance hearing processby the grievance coordinator in their sole discretion. Additionally, any member of the committee may recuse themselves by notifying the grievance coordinator. Should any member be recused, it is the responsibility of grievance coordinator or ODHR-designee to designate an eligible alternate member from the affected employee group and notify the grievant and respondent(s) of such action.
- 7.10. Grievance Hearing Procedures: The grievance committee will hear the grievance during which the grievant and respondent(s) have an opportunity to clarify the information supporting their cases. Rules: The following rules will govern the conduct of the hearing:
  - a. Each party will have an opportunity to provide opening statements, responses, and closing statements.
  - b. Only the grievant, respondent(s), and approved witness(es) will be permitted to present to the grievance committee. Only the grievance committee and grievance coordinator are permitted to ask questions of the grievant, respondent(s), and any witnesses. There will be no cross-examination by either party (grievant or respondent).
    - i. The grievant is responsible for supporting their grievance that a College policy or procedure was has not been followed, misapplied, or misinterpreted by a preponderance of the evidence.
    - ii. The respondent(s) will be permitted to present testimony or documentation in support of their actions regarding the issue.
  - b. Only the grievant and respondent(s) will be permitted to present to the grievance committee. Either party may request a witness(es) who-

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may provide additional testimony or documentation to support the party's claim and the grievance committee will determine the final-list of witnesses for the hearing (if applicable). The grievance committee may request specific documentation, additional information, or call witnesses as necessary.

- c. The grievance process is not a criminal or civil court proceeding and should not be regarded as such.
  - i.iii. The grievant and respondent(s) may each have an advisor, who may be an attorney, attend the hearing; however, the advisor may not address the committee directly or directly participate in the proceeding. The role of the advisor is to quietly advise the person who invited them., and At the discretion of the grievance committee chair or grievance coordinator (who is typically the Assistant Vice President, Human Resources, or designee), the advisor may be excused if their presence disrupts the hearing in any way in the sole discretion of the committee chair or grievance coordinator. If either party wishes to have an advisor present at a hearing, they must advise inform the Assistant Vice President, Human Resources, with the name of the advisor at least three (3) College business days prior to the grievance hearing.
- c. The grievance committee and/or grievance coordinator may request additional documentation from either party to include additional witnesses at any time during the process (prior to or during the hearing).
- d. Prior to and/or during the grievance hearing, the grievance committee chair may rule that the evidence or testimony presented is not applicable to or relevant to the basis of the grievance hearing and therefore not considered by the grievance committee in making their recommendation.
- d. In the hearing, the grievant and respondent shall be given an opportunity to state their position and there will be no cross-examination by either party. Only the grievance committee will be permitted to ask questions of both parties and witnesses, as applicable.
- e. The grievance hearing is not a criminal court proceeding, civil court proceeding, or public meeting and should not be regarded as such.

  †The conduct of the hearing will be kept confidential to the extent permissible by law and as necessary and appropriate to protect the rights of the parties.

### 8. Grievance Process Steps:

a. To ensure the opportunity for timely and equitable solutions to alleged grievances, the College requires the following procedural steps be followed:

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- i. Step 1 A grievance must be filed in writing using the designated"HR Full-Time Grievance" form (HR Form #301), which must be signed (electronic or handwritten) and dated by the grievant in the designated area on the form. The employee must submit the completed grievance along with required documents to the Assistant Vice President, Human Resources, through hardcopy, fax or sent via email and received within ten (10) Collegebusiness days after the date the employee had knowledge of or should have had knowledge of the incident, act, action or omission that is the basis for the grievance. (Business day is defined as a day where the College is open for business. It shall exclude Saturdays, Sundays, College holidays, and other official-College closures as applicable.) If the form and related documentation are sent via fax or scanned and sent via email, the date/time of the sent fax/email will be identified as the filingdate/time of the grievance.
  - 01. If the employee attempted to go through any of the informal options, then the employee has ten (10). College business days after the date of the final attempt through which informal means were not successful to submit the grievance along with official documentation of participation in any of the informal processes. If the form and related documentation are scanned and sent via email, the date/time of the sent email will be identified as the filing date/time of the grievance. The grievance form must include the following information (attach supporting documentation as needed):
    - a) a detailed, factual written description of the issue(s) that includes the incident, act, or omission the grievant alleges is the basis for the grievance, including date(s) of occurrence; if informal means were attempted, include a description of the attempts made and parties involved, including date(s);
    - b) the specific section(s) of policy(ies) or procedure(s) alleged to have not have been followed, misapplied, or misinterpreted by the College to include how the policy(ies) or procedure(s) was(were) not followed;
    - e) name(s) and contact information of anywitness(es) or those who have directknowledge of the alleged incident, act oromission and a description as to how thewitness knowledge correlates to the grievance;

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- d) steps taken to date to resolve the complaint;
- e) any other documents the grievant considers to support the grievance; and
- f) the resolution the grievant is seeking to resolve the matter or remedy the situation; and
- 02. Grievances filed by the deadline date shall be reviewed by the grievance coordinator to determine whether the complaint involves a grievable or non-grievable matter. If grievable, the grievance coordinator shall inform the grievant and respondent of the grievance. Grievances that are determined by the grievance coordinator to be non-grievable or eligible to be presented, pursued, and reviewed under a more specific policy or procedure provided by the District Board of Trustees may not be presented or processed under this policy. This determination is final and is not appealable. The grievant will be notified of such determination and if applicable, provided more detailed information as to the process regarding the appropriate policy.
- 03. Grievances that do not include the required information or are not filed by the deadline date may not be processed. If the item(s) presented in the grievance fall under the parameters of non-grievable matters, they do not include the required information, or the grievance is not submitted by the deadline date, the grievant will be notified of such determination and the grievance not processed. This determination is final.
- 04. All notifications to the grievant and respondent will be communicated through the grievance coordinator or designee.
- ii. Step 2 Formal written notifications of a hearing date will be made within ten (10) College business days from receipt of the required grievance documentation. The grievance coordinator or designee is responsible for coordinating the grievance date, time, and location with the grievant, appropriate supervisory personnel and/or respondent, grievance committee, and witnesses (as applicable and identified by the grievance committee). Notification of the scheduled hearing date is delivered via email to the respective parties.
  - 01. Document Requests The grievant or respondent may

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request relevant document(s) to support their positionin preparing for the hearing. The employee should request documents from the grievance coordinator. The request(s) should be specific and provide the dateby which said documents are needed. Confidentialinformation may be redacted. The College will make reasonable efforts to accommodate the documentrequests; however, requests for documents with a response time of less than one (1) working day/24 hours may not be possible to honor. The requestormay request the documentation prior to and independent of placing it on their evidence list that is submitted to the committee. Note: With the exceptionof documents requested by and presented by the grievance committee, the grievant or respondent maypresent only documents listed on the evidence list.

- 02. Grievance Information and Evidence to Be Presented—The grievance coordinator or designee will supply the committee, grievant, and respondent with the grievance information and a list of evidence to be presented at the hearing no later than three (3) College business days prior to the scheduled hearing date. The list may include witnesses identified by the grievance committee to provide testimony at the hearing.
- which the grievant and respondent have an opportunity to clarify the information supporting their cases. Each party will have an opportunity to provide opening statements, responses, and closing statements. The grievance committee and/or grievance coordinator may ask questions of the grievant, respondent, and any witness(es). In addition, the grievance committee and/or grievance coordinator may request additional documentation from either party to include additional witnesses at any time during the process. The grievance committee and/or grievance coordinator may request additional documentation or witnesses prior to or during the course of the hearing. Prior to and during the hearing, the committee chair may rule that evidence or testimony presented is not applicable to or relevant to the basis of the hearing.

### 11. Grievance Hearing Committee Recommendations

a. After the <u>grievance</u> hearing is completed, the grievance committee has ten (10) College business days, <u>from the date of the grievance</u> <u>hearing</u>, to provide a recommendation(s) to the grievance coordinator, who will distribute the recommendation(s) to all relevant parties.

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- i. The grievance committee may consult with the grievance coordinator regarding the content and composition of the recommendation; however, the grievance committee determines the recommendation for the case.
- ii. The recommendation(s) must be supported by a preponderance of evidence provided (more probably than not), affirmed by a majority of the grievance committee members, and signed by all grievance committee members.
- <u>b.</u> The grievance documentation presented at the <u>grievance</u> hearing and the <u>grievance</u> committee recommendation(s) will be provided to the Vice President/Campus Provost responsible for the respective area.
  - iv.i. If the grievance involves the Vice President/Campus Provost responsible for the respective area, involves the recommendation to dismiss employment for an employee on a continuing contract (tenured faculty), or involves the recommendation to return a continuing contract employee (tenured faculty) to an annual contract, the grievance documentation presented at the hearing and committee recommendation(s) will be provided to the College President.

The recommendation(s) must be supported by a preponderance of evidence provided (more probable than not), affirmed by a majority of the committee members, and signed by all committee members. The grievance committee may consult with the grievance coordinator regarding the content and composition of the recommendation prior to submittal to the Vice-President/Campus Provost (or College President, if applicable); however, the grievance committee determines the recommendation for the case.

### 12. Grievance Outcome

- a. Step 4—Based upon the grievance committee recommendation, the respective Vice President/Campus Provost (or College President, if applicable) in consultation with the Vice President of Organizational Development and Human Resources (VP, ODHR), has the authority to accept or modify the recommendation(s).
  - i. (If the grievance committee recommendation is to the VP, ODHR, then the VP, ODHR will consult with the College President or designee.
  - <u>ii.</u> If the grievance involves the VP, ODHR, the College President will consult with another College Vice President.
- b. The respective Vice President/Campus Provost (or College President, if applicable) will issue the final written decision to the grievance coordinator, who will distribute the decision to all relevant parties

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normally within ten (10) College business days.

- c. Following the issuance of the final written decision, or the College

  President's written decision in the case of an appeal of a termination
  of a contract of employment or return to annual contract, as
  applicable, the decision is the final action of the College's grievance
  process on the matters presented.
  - w.i. For employees on a continuing contract where a final written grievance decision upholds either the recommendation to terminate an employment contract or recommendation to return to annual contract, as applicable, the recommendation will become final upon the next meeting of the District Board of Trustees.

## III. Dispute Resolution Resources

- A. Employee Relations:— Along with supervisors, representatives from the ODHR Employee Relations Office are a primary resource for all employees who may have difficulty resolving conflicts. ER ODHR Employee Relations staff are trained to provide support, guidance, and feedback to employees who are having difficulty resolving conflicts. These human resource representatives may serve as advocates for the dispute resolution process, ensuring that employees are aware of and have access to the tools, processes, and policies in place to resolve disputes. An ODHR Employee Relations ER staff member may be engaged at any point of the informal dispute resolution process. It may include the coordination and support of the activities of the Employee Dispute Resolution System and the preparation and maintenance of necessary records. Based upon the information provided, ER-an ODHR Employee Relations staff member may have sufficient reason to recommend referrals to the formal dispute resolution process or to conduct a review in order to bring resolution to the issues presented. For support and navigation through these processes, employees should contact an ODHR Employee Relations representative at 407-582-5000 x4748407-582-4748 (HR4U) or HR4U@valenciacollege.eduemployeerelations@valenciacollege.edu.
- B. Faculty Ombuds Representative:— An additional resource for faculty who are having difficulty resolving interpersonal conflicts is the faculty ombuds representative, who is a colleague/peer in the College trained to provide guidance on available resources. This representative is not part of the College's dispute resolution process nor do they represent or advocate for faculty in these processes. The repres 18 epressentative is familiar with dispute resolution practices, available resources, and is able to direct faculty to appropriate resources upon request. While not a confidential resource, this representative shall be discrete, respects the privacy of the individual(s) involved, and shares related information only with those College employee(s) who need to know in order to assist in resolving the conflict. ODHR Employee Relations, in collaboration with Tthe Faculty Association, shall solicit faculty ombuds nominations and select faculty ombuds representative(s) shall be selected in collaboration with ODHR. The selected faculty ombuds representative(s) will serve a one year term coinciding with the fiscal year with the opportunity to continue in the role for additional terms.

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## **Procedure History:**

Adopted 2-26-14; Amended 3-27-14; Amended 2-26-14; Amended 12-12-2018; Amended 2-24-21; Amended 4-9-21; Amended 4-18-22; Amended 9-08-22; <u>Amended 02-01-2024;</u> Formerly 6Hx28: 3E-09.1 and 6Hx28:3E-09.2

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